

514 Rec'd PCT/PTO 13 MAY 1999

|   |  |   |  |   |  |
|---|--|---|--|---|--|
| FORM PTO-1390<br>(REV 11-98)  |  | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | ATTORNEY'S DOCKET NUMBER<br>30394-1027                              |  |
| TRANSMITTAL LETTER TO THE UNITED STATES<br>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br>CONCERNING A FILING UNDER 35 U.S.C. 371  |  |   |  | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>09/308150</b> |  |
| INTERNATIONAL APPLICATION NO<br>PCT/NL97/00624  |  | INTERNATIONAL FILING DATE<br>14 November 1997           |  | PRIORITY DATE CLAIMED<br>15 November 1996                           |  |
| TITLE OF INVENTION <b>PEPTIDE DERIVED FROM AN ANTIGEN RECOGNIZED BY AUTOANTIBODIES FROM PATIENTS WITH RHEUMATOID ARTHRITIS, ANTIBODY DIRECTED AGAINST SAID PEPTIDE, A COMBINATORIAL ANTIGEN, AND A METHOD OF DETECTING AUTO-IMMUNE ANTIBODIES</b>   |  |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US <b>Waltherus Jacobus Wilhelmus Van Venrooij, Gerardus Antonius Schellekens, Jozef Maria Hendrik Raats, Rene Michael Antonius Hoet</b>   |  |   |  |   |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:   |  |   |  |   |  |
| <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li><input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li><input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li><input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <li><input checked="" type="checkbox"/> is transmitted herewith (<del>required only if not transmitted</del> <b>in the event it was</b> by the International Bureau).</li> <li><input type="checkbox"/> has been transmitted by the International Bureau.</li> <li><input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li><input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li><input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <li><input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li><input type="checkbox"/> have been transmitted by the International Bureau.</li> <li><input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li><input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li><input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li><input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (<b>unsigned</b>)</li> <li><input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> |  |   |  |   |  |
| Items 11. to 16. below concern document(s) or information included:   |  |   |  |   |  |
| <ol style="list-style-type: none"> <li><input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li><input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li><input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br/><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li><input type="checkbox"/> A substitute specification.</li> <li><input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li><input checked="" type="checkbox"/> Other items or information: <b>Associate Power of Attorney</b></li> </ol>   |  |   |  |   |  |

|   |              |  |                     |                                     |    |
|---|--------------|--|---------------------|-------------------------------------|----|
| U.S. APPLICATION NO. 09/308150  |              | INTERNATIONAL APPLICATION NO. PCT/NL97/00624 |                     | ATTORNEY'S DOCKET NUMBER 30394-1027 |    |
| 17. <input checked="" type="checkbox"/> The following fees are submitted:   |              |  |                     | CALCULATIONS PTO USE ONLY           |    |
| BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :   |              |  |                     |                                     |    |
| Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .                               |              |  |                     | \$970.00                            |    |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .   |              |  |                     | \$840.00                            |    |
| International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .  |              |  |                     | \$760.00                            |    |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .   |              |  |                     | \$670.00                            |    |
| International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .   |              |  |                     | \$96.00                             |    |
| ENTER APPROPRIATE BASIC FEE AMOUNT =  |              |  |                     | \$ 840.00                           |    |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).                                       |              |  |                     | \$                                  |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA                                 | RATE                |                                     |    |
| Total claims  | 15 - 20 =    | --   | X \$18.00           | \$                                  |    |
| Independent claims  | 1 - 3 =      | --   | X \$78.00           | \$                                  |    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |  | + \$260.00          |                                     |    |
| TOTAL OF ABOVE CALCULATIONS =   |              |  |                     | \$ 840.00                           |    |
| Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).  |              |  |                     | \$                                  |    |
| SUBTOTAL =  |              |  |                     | \$ 840.00                           |    |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).                                  |              |  |                     | \$                                  |    |
| TOTAL NATIONAL FEE =  |              |  |                     | \$ 840.00                           |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |  |                     | +                                   |    |
| TOTAL FEES ENCLOSED =   |              |  |                     | \$ 840.00                           |    |
|   |              |  |                     | Amount to be:                       | \$ |
|   |              |  |                     | refunded                            |    |
|   |              |  |                     | charged                             | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 840.00 to cover the above fees is enclosed   |              |  |                     |                                     |    |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.   |              |  |                     |                                     |    |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-4213. A duplicate copy of this sheet is enclosed. |              |  |                     |                                     |    |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.                               |              |  |                     |                                     |    |
| SEND ALL CORRESPONDENCE TO:   |              |  | SIGNATURE:          |                                     |    |
| Jeffrey D. Myers  |              |  | Jeffrey D. Myers    |                                     |    |
| PEACOCK, MYERS & ADAMS  |              |  | NAME                |                                     |    |
| Post Office Box 26927   |              |  | 35,964              |                                     |    |
| Albuquerque, New Mexico 87125-6927  |              |  | REGISTRATION NUMBER |                                     |    |
| Telephone: (505) 998-1500 office  |              |  |                     |                                     |    |
| (505) 998-1502 direct line  |              |  |                     |                                     |    |
| Fax: (505) 243-2542   |              |  |                     |                                     |    |

**PCT/NL97/00624**

Surprisingly, the peptide according to the invention that possesses a modified arginine residue, proved to be very suitable for the specific diagnosis of rheumatoid arthritis.



**WO 98/22503**

3

PCT/NL97/00624 -

organic synthesis, making immunological testing on a large scale possible.

According to an alternative embodiment, the peptide in accordance with the invention is characterized in that the peptide is obtained by the proteolytic treatment of (pro)filaggrin, separation of peptide fragments formed by proteolysis and subsequent selection on the presence of a modified arginine residue in a peptide which was formed during the proteolytic treatment.

10 In this manner peptides can be identified which can increase the sensitivity of a rheumatoid arthritis test. The term sensitivity is in the present application to be understood to mean the ability of a test to properly identify a patient suffering from rheumatoid arthritis.

15           According to a favourable embodiment, the antigen is (pro)filaggrin, and the peptide is reactive with a rheumatoid arthritis patient's autoimmune antibodies which are reactive with (pro)filaggrin.

The peptide has been shown to be very suitable for  
20 high-specificity testing (few false positives) for rheuma-  
tism.

The present invention also relates to an antibody which is cross-reactive with an antibody raised against a peptide according to the invention.

25        Such an antibody is useful for the indication of  
rheumatoid arthritis by analysing sections of biopsy  
samples and immunological tests of the sandwich type.

The antibody is preferably a monoclonal antibody.

According to another preferred embodiment, the  
30 antibody is obtained by using as antigen a peptide in  
accordance with the invention.

A suitable antibody according to the invention is characterized in that it is cross reactive with the antibody as produced by Escherichia coli TG1 with plasmid RA3, deposited at the Centraalbureau voor Schimmelcultures, at Baarn, the Netherlands under accession number CBS143.96.

The invention further relates to an organic compound comprising a part that is able to compete with a peptide according to one of the claims 1 to 9 for binding

WO 98/22503

4

PCT/NL97/00624 -

to an antibody which is specific for said peptide, wherein at least said part of the organic compound can be prepared by means of combinatory chemistry.

Such organic compounds are found by competitive selection wherein a peptide of the invention competes for recognition by an antibody of the invention, such as the antibody produced by E. coli CBS143.96. The organic compounds, which are often cheaper to produce than antigens that are prepared solely on the basis of amino acids that may or may not comprise side chains, are suitable for immunological kits for diagnosing RA. Also, after coupling to a solid carrier, said organic compounds could be applied to lower, through adsorption, the level of autoimmune antibodies in the blood of patients suffering from RA.

Finally, the invention relates to a method of detecting autoimmune antibodies against rheumatoid arthritis.

The method according to the invention is characterized in that in an immunological test at least one immunologically active molecule selected from the group consisting of i) a peptide according to the invention; ii) a recombinatory organic molecule according to the invention; and iii) an antibody according to the invention is used.

In addition to increased sensitivity other advantages are achieved, in particular better reproducibility, quantitative information and better applicability for prognostic purposes.

To a person skilled in the art it will be apparent that there are a number of possible variations to the present invention as specified by the appended claims. For instance, the peptides mentioned on the formula sheet may also be part of other oligopeptides. They may be provided at one or both ends with one or more other amino acids while also, two or more peptides according to the invention may be part of one oligopeptide. It is also possible to shorten the peptides by one or more amino acids, provided this does not have a significantly adverse effect on

WO 98/22503

5

PCT/NL97/00624 —

the reactivity. The expert is familiar with the manner in which peptides and organic compounds according to the invention may optionally be labelled or be coupled to a carrier, and how on the basis of such antigens an immuno-  
5 logical test may be developed, using the standard techniques well-known in the field.

The invention will now be explained in more detail by means of the following example.

10 Materials and methods

Peptide synthesis: Peptides were selected for synthesis on the basis of amino acid sequences derived from known cDNA sequences of human profilaggrin (Ref. 2; Ref. 3). The peptides were synthesized on solid phase using the method  
15 described by Schellekens et al. (Ref. 4). The peptides were at least 95% pure, as determined by the elution profile by means of reversed phase chromatography and the relative absorption at 214 nm. The composition of the peptides was confirmed by means of mass spectrometry (MALDI-  
20 MS). All peptides were synthesized as peptide amides.

6600E60"03T80E60

WO 98/22503

6

PCT/NL97/00624 -

TABLE 1

Synthesized peptides

The peptide names starting with "cf" are based on the C-terminal end (amino acids 306-324); and the peptide names starting with "nf" are based on the sequence near the N-terminal end (amino acids 18-32 for nfc1). Amino acid sequences based on cDNA of a profilaggrin repeat.

| Name | Peptide sequence*                       |
|------|---|
| cfc1 | S H Q E S T X G R S R G R S G R S G S   |
| cfc2 | S H Q E S T R G X S R G R S G R S G S   |
| cfc3 | S H Q E S T R G R S X G R S G R S G S   |
| cfc4 | S H Q E S T R G R S R G X S G R S G S   |
| cfc5 | S H Q E S T R G R S R G R S G X S G S   |
| cfc6 | S H Q E S T X G X S R G R S G R S G S   |
| cfc7 | S H Q E S T X G R S X G R S C R S G S   |
| cfc8 | S H Q E S T X G R S R G X S G R S G S   |
| cfc9 | S H Q E S T X G R S R G R S G X S G S   |
| cf   | S H Q E S T R G R S R G R S G R S G S   |
| cfA  | S H Q E S T A G R S R G R S G R S G S   |
| cfE  | S H Q E S T E G R S R G R S G R S G S   |
| cfQ  | S H Q E S T Q G R S R G R S G R S G S   |
| nfc1 | T G P S T R G R Q G S X H E             |
| nf   | E S S H G W T G P S T R G R Q G S R H E |

\* (A = alanine; G = glycine; H = histidine; E = glutamic acid; P = proline; R = arginine; Q = glutamine; S = serine; T = threonine; W = tryptophan; X = citrulline)



WO 98/22503

7

PCT/NL97/00624 -

Detection by means of ELISA

Via an N-oxy succinimide surface the peptides were covalently coupled to the wells of 96-well microtitre plates (Costar amide binding plates) in an amount of 1  $\mu$ g/well. Coupling took place for 16 hours at 4°C and pH 9.0. The plates were blocked for 1 hour with 2% bovine serum albumin. The sera were diluted 200 times in a diluent (0.3% BSA, 350 mM NaCl, 10 mM Tris-HCl pH 7.6, 1% vol./vol. Triton X-100, 0.5% w./vol. Na-deoxycholate, 0.1% SDS) supplemented with 10% normal rabbit serum, and incubated for one hour at room temperature. After washing the plates (3 times with PBS containing 0.05% by vol. of Tween®20), 100  $\mu$ l of antihuman IgG conjugated with peroxidase (Dako P214), 1000 times diluted in dilution buffer, was added to the wells. After incubation for 1 hour at room temperature, the plates were washed 3 times with PBS/Tween®, and bound antibodies were detected with tetramethyl benzidine as a substrate. After 10 minutes the reaction was stopped by adding 100  $\mu$ l of 2 M sulphuric acid per well. Readout occurred at 450 nm. Sera having an OD<sub>450</sub> of 0.2, after deduction of the blank for the respective serum (a well without a coupled peptide), were considered to be positive.

Results

The results are listed in Table 2. In total, 288 sera from patients suffering from rheumatoid diseases were used, 132 of which were from patients suffering from rheumatoid arthritis.

WO 98/22503

8

PCT/NL97/00624 -

TABLE 2

Results with peptide cfc1 to cfc9 (Formula II to X of the formula sheet)

5

|    | Peptide <sup>*</sup> | RA sera |  | control           |                  |                  |                  |                    |
|----|----------------------|---------|--|-------------------|------------------|------------------|------------------|--------------------|
|    |                      | (%)     |  | sera <sup>1</sup> | SLE <sup>2</sup> | SSC <sup>3</sup> | pSS <sup>4</sup> | PM/DM <sup>5</sup> |
|    |                      | (n =    |  | (%)               | (%)              | (%)              | (%)              | (%)                |
|    |                      | 134)    |  | (n =              | (n =             | (n =             | (n =             | (n =               |
|    |                      |         |  | 154)              | 50)              | 50)              | 50)              | 50)                |
|    | cfc1                 | 49 (36) |  | 1 (0.6)           | 1 (2)            | 0                | 0                | 0                  |
| 10 | cfc2                 | 27 (20) |  | 4 (2.6)           | 1 (2)            | 0                | 1 (2)            | 1 (2)              |
|    | cfc3                 | 37 (28) |  | 2 (0.6)           | 0                | 0                | 1 (2)            | 1 (2)              |
|    | cfc4                 | 32 (24) |  | 2 (1.3)           | 0                | 0                | 0                | 0                  |
|    | cfc5                 | 61 (48) |  | 1 (0.6)           | 0                | 1 (2)            | 2 (4)            | 1 (2)              |
|    | cfc6                 | 65 (48) |  | 1 (0.6)           | 0                | 0                | 2 (4)            | 1 (2)              |
| 15 | cfc7                 | 60 (45) |  | 1 (0.6)           | 0                | 0                | 1 (2)            | 1 (2)              |
|    | cfc8                 | 55 (41) |  | 1 (0.6)           | 0                | 0                | 1 (2)            | 1 (2)              |
|    | cfc9                 | 57 (42) |  | 1 (0.6)           | 0                | 0                | 2 (4)            | 0                  |

1) Control sera are from patients suffering from rheumatic diseases other than RA.

2) SLE is systemic lupus erythematosus.

3) pSS is primary Sjögren's syndrome.

4) SSC is systemic scleroderma.

5) PM/DM is polymyositis/dermatomyositis.

25

Of the total of 134 RA sera from patients suffering from rheumatoid arthritis, 102 were positive with at least one peptide from the cfc1 to cfc9 series. Therefore, when using these peptides, the sensitivity was 76% (102/134).

30 Of the total of 354 control sera, 13 sera were positive on at least one peptide from the cfc1 to cfc9 series. Therefore the test sensitivity, expressed as percentage of true

660660 05700000

WO 98/22503

9

PCT/NL97/00624 -

positives, was 96%. Of the 37 sera that were reactive with cfc3, none were not recognized by peptide cfc1 or cfc23. Of the sera that were reactive with cfc7, cfc8 and cfc9, none were not recognized by cfc1, cfc2, cfc4, cfc5 or cfc6. This means that cfc2, cfc7, cfc8 and cfc9 do not contribute to the test sensitivity and a test sensitivity of 76% may be realized by using the combination of the peptides cfc1, cfc2, cfc4, cfc5 and cfc6.

It should be noted that these percentages depend on the specificity-threshold value applied by applicants. The same data (from the ELISA experiments) can be interpreted as a sensitivity of approximately 80-85% by choosing a slightly lower sensitivity, which incidentally, is still much better than the one obtainable when using the known rheumatoid factor test (Ref. 1).

Sera from patients suffering from various infectious diseases (Dorrelia, cyphilis, malaria, endocarditis, Legionella, tuberculosis, mycoplasma, Yersinia, salmonella, parvovirus B19, Epstein-Barr virus, rubella, schistosomiasis, Toxoplasma, leishmaniasis, Chagas' disease) were tested for the presence of antibodies reactive with cfc1. Of the 308 tested sera 9 were positive. This means that the specificity was 97%, a considerable improvement compared with the RF test.

Variants of cfc1 wherein citrulline was replaced by a neutral (alanine; cfa), acid (glutamic acid; cfe) or amide (glutamine; cfq) residue, did not seem to be immunologically reactive. The same applies to the control peptide cf, which does not possess a modified arginine residue.

With the aid of the above-described ELISA, a cyclic variant (with the Formula XI on the formula sheet, in which two cysteine residues (C) are bound by means of a sulphur bridge) of cfc1 was tested for 134 RA sera. This cyclic variant was shown to be reactive with 85 sera (63%), signifying an increase in sensitivity. Of the 151 sera of patients suffering from rheumatic diseases other than RA, 3 were shown to be positive (specificity 98%). The priority document of the present application reports 5

WO 98/22503

10

PCT/NL97/00624 -

5 falsely determined positives. However, it has been shown  
that in two of these cases the patients did indeed suffer  
from RA. Not one serum from 59 healthy individuals was  
positive with this cyclic peptide, nor with any of the  
10 peptides cfc1 to cfc9. The cyclic peptide variant was  
shown to be reactive with 4 sera of the 200 additional  
control sera (50 SLE, 50 SCC, 50 PSS, 50 PM/DM) so that  
the specificity in respect of these sera was 98%. Of the  
15 sera from patients suffering from various infectious  
diseases (308 sera as described above), 7 sera were shown  
to be positive with the cyclic peptide variant so that in  
this case also the specificity in respect of these sera  
was 98%. The use of the cyclic peptide variant thus  
enhances the sensitivity compared with the individual  
linear peptide variants, but the specificity is also  
enhanced due to an improved signal/noise ratio in the  
described ELISA test.

20 A second citrulline-substituted peptide (nfc1) was  
shown to be specifically reactive with 10% of the RA sera,  
but not with the control peptide nf, which does not com-  
prise citrulline. Of the RA sera reactive with nfc1, some  
were not reactive with cfc1 to cfc9. Therefore, it is  
possible to increase the sensitivity of a test for rheuma-  
toid arthritis by applying more peptides comprising a  
25 modified arginine residue.

Obviously, a peptide may comprise several modified  
arginine residues, but the peptide may also comprise one  
or more non-modified arginine residues.

30 Applicants believe that modified amino acids, in  
particular those derived from arginine residues, could  
possibly also play a role in other autoimmune diseases.  
For this reason, the invention is also aimed at peptides  
comprising modified amino acids that are reactive with  
auto-antibodies from patients suffering from autoimmune  
35 diseases other than RA. This relates especially to pep-  
tides comprising a modified arginine residue wherein  $X =$   
 $NHCH_2$  (wherein  $Y = NH$  or  $NCH_2$ ) or  $NH(CH_2)_2$  is, which  
peptides will be useful for the detection of autoimmune  
diseases such as SLE, scleroderma, primary Sjögren's syn-

WO 98/22503

11

PCT/NL97/00624 —

5 drome and polymyositis/dermatomyositis, in which nuclear  
autoantigens play a role. Said peptides are useful for the  
development of monoclonal antibodies against these dis-  
eases as well as for diagnosing the respective autoimmune  
10 antibodies in body fluid such as blood, plasma and serum  
of patients who are suspected of suffering from the auto-  
immune disease. Again the peptides and antibodies offer  
the possibility of developing an organic compound with the  
15 aid of combinatorial chemistry, which compound is com-  
prised within the scope of the invention.

20 The recombinant monoclonal antibody described by  
applicants is reactive with peptide cfc1 but not with the  
control peptides cfa, cfe, cfq or cf. The commercially  
15 available monoclonal antibody AKH1 (Ref. 5), directed  
against human filaggrin, is not reactive with any of the  
peptides described herein and is therefore not cross-  
reactive with an antibody raised against a peptide accord-  
ing to the invention. The polyclonal serum anti-54 kD  
20 (Ref. 5), raised against filaggrin, is not reactive with  
any of the peptides described herein and is therefore not  
cross-reactive with an antibody reactive with a peptide  
according to the invention. This suggests that in a normal  
immune reaction antibodies that are cross-reactive with an  
25 antibody raised against a peptide according to the inven-  
tion, are not necessarily formed.

660660" 05T80E60

WO 98/22303

12

PCT/NL97/00624 -

REFERENCES

- 1) Smolen, J.S., (1996) Autoantibodies in rheumatoid arthritis, in Manual of biological markers of disease (W.J. van Venrooij and R.N. Maini, red.) vol. C Chapter 1.1 pp. 1-18. Kluwer Scientific Publishers, Dordrecht.
- 2) McKinley-Grant, L.J., Idler, W.W., Bernstein, I.A., Parry, D.A.D., Cannizzaro, L., Croce, C.M., Huebner, K., Lessin, S.R. & Steinert, P.M. (1989) Characterization of a cDNA clone encoding human filaggrin and localization of the gene to chromosome region 1q21. Proceedings of the National Academy of Science U.S.A. 86, pp. 4848-4852.
- 3) Gan, S.Q., McBride, O.W., Idler, W.W., Nedialka, M. & Steinert, P.M. (1990) Organization, structure, and polymorphisms of the human profilaggrin gene. Biochemistry 29, pp. 9432-9440.
- 4) Schellekens, G.A., Lasonder, E., Feijlbrief, M., Kocdijk, D.G.A.M., Drijfhout, J.W., Scheffer, A.J., Welling-Wester, S & Welling, G.W. (1994) Identification of the core residues of the epitope of a monoclonal antibody raised against glycoprotein D of herpes simplex virus 1 by screening of a random peptide library. The European Journal of Immunology 24, pp. 3188-3193.
- 5) Hoet, R.M.A., Boerbooms, A.A.Th., Arends, M., Ruiters, D.J., van Venrooij, W.J. (1991) Antiperinuclear factor, a marker autoantibody for rheumatoid arthritis: colocalisation of the perinuclear factor and profilaggrin. Annals of the Rheumatic diseases 50, pp. 611-618.

35

0506010506060



WO 98/22503

14

PCT/NL97/00624

patient's autoimmune antibodies which are reactive with (pro) filaggrin.

9. A peptide according to one of the claims 1 to 3, characterized in that the peptide is obtained by the proteolytic treatment of (pro) filaggrin, separation of peptide fragments formed by proteolysis and subsequent selection on the presence of a modified arginine residue in a peptide which was formed during the proteolytic treatment.

10. An antibody which is cross reactive with an antibody raised against a peptide according to one of the claims 1 to 9.

11. An antibody according to claim 10, characterized in that the antibody is a monoclonal antibody.

12. An antibody according to claim 10 or 11, characterized in that the antibody is obtained by using a peptide according to one of the claims 1 to 9 as an antigen.

13. An antibody according to one of the claims 9 to 12, characterized in that it is cross-reactive with the antibody as produced by Escherichia coli TGI with plasmid RA3, deposited at the Centraal bureau voor Schimmelcultures, at Baarn, the Netherlands under accession number CBS143.96.

14. An organic compound comprising a part that is able to compete with a peptide according to one of the claims 1 to 9 for binding to an antibody which is specific for said peptide, wherein at least said part of the organic compound can be prepared by means of combinatory chemistry.

15. A method for the detection of autoimmune antibodies, characterized in that in an immunological test at least one immunologically reactive molecule selected from the group consisting of i) a peptide according to one of the claims 1 to 9; ii) an organic compound according to claim 14; and iii) an antibody according to one of the claims 10 to 13 is used.



514 Rec'd PCT/PATENT APPLICATION 1999

**Annette M. Turk, Legal Assistant**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For: PEPTIDE DERIVED FROM AN ANTIGEN :  
RECOGNIZED BY AUTOANTIBODIES FROM PATIENTS: WITH RHEUMATOID ARTHRITIS, ANTIBODY :  
DIRECTED AGAINST SAID PEPTIDE, A COMBINA- :  
TORIAL ANTIGEN, AND A METHOD OF DETECTING :  
AUTO-IMMUNE ANTIBODIES :

**FIRST PRELIMINARY AMENDMENT**

**Sir:**

**Please amend the application, without prejudice, as follows:**

**In the Claims:**

1. (Amended) A peptide derived from an antigen recognized by autoantibodies from patients with rheumatoid arthritis, which peptide is reactive with autoimmune antibodies from a patient suffering from rheumatoid arthritis, [characterized in that] wherein the derived peptide that is reactive with autoimmune antibodies[,] corresponds to a part of a RNA molecule coding for the antigen, said part comprising a codon for an arginine residue, and the arginine residue in the derived peptide, which is reactive with autoimmune antibodies, is a modified arginine residue.

2. (Amended) A peptide according to claim 1 [, characterized in that] wherein the modified arginine residue's side chain is a side chain according to Formula I on the formula sheet, in which

X = NH<sub>2</sub>, CH<sub>3</sub>, NHCH<sub>3</sub> or N (CH<sub>3</sub>)<sub>2</sub>;

Y = O, NH, NHCH<sub>3</sub> or N (CH<sub>3</sub>)<sub>2</sub>;

Z = O, NH or CH<sub>2</sub>; and

n = 2, 3 or 4, on the condition that when X = NH<sub>3</sub> and Z = NH, Y is not NH.

3. (Amended) A peptide according to claim 1 [or 2, characterized in that] wherein the modified arginine residue is a citrulline residue.

4. (Amended) A peptide according to [any one of the preceding claims, characterized in that] claim 1 wherein the peptide is selected from the group of peptides having the Formula II – X.

5. (Amended) A peptide according to [one of the claims 1 to 3, characterized in that] claim 1 wherein the peptide is a cyclic peptide.

6. (Amended) A peptide according to claim 5 [, characterized in that] wherein the cyclic peptide [is having] has the Formula XI.

7. (Amended) A peptide according to [one of the preceding claims, characterized in that] claim 1 wherein the peptide is a synthetic peptide.

8. (Amended) A peptide according to [one of the preceding claims, characterized in that] claim 1 wherein the antigen is (pro)filaggrin, and the peptide is reactive with a rheumatoid arthritis patient's autoimmune antibodies which are reactive with (pro)filaggrin.

9. (Amended) A peptide according to [one of the claims 1 to 3, characterized in that] claim 1 wherein the peptide is obtained by the proteolytic treatment of (pro)filaggrin, separation of peptide fragments formed by proteolysis and subsequent selection of the presence of a modified arginine residue in a peptide which was formed during the proteolytic treatment.

10. (Amended) An antibody which is cross reactive with an antibody raised against a peptide according to [one of the claims 1 to 9] claim 1.

11. (Amended) An antibody according to claim 10 [, characterized in that] wherein the antibody is a monoclonal antibody.

12. (Amended) An antibody according to claim 10 [or 11, characterized in that] wherein the antibody is obtained by using a peptide according to [one of the claims 1 to 9] claim 1 as an antigen.

13. (Amended) An antibody according to [one of the claims 9 to 12, characterized in that] claim 9 wherein it is cross-reactive with the antibody as produced by *Escherichia coli* TG1 with plasmic RA3, deposited at the Centraalbureau voor schimmelcultures, at Baarn, the Netherlands under accession number CBS143.96.

14. (Amended) An organic compound comprising a part that is able to compete with a peptide according to [one of the claims 1 to 9] claim 1 for binding to an antibody which is specific for said peptide, wherein at least said part of the organic compound can be prepared by means of combinatory chemistry.

15. (Amended) A method for the detection of autoimmune antibodies [, characterized in that] wherein in an immunological test at least one immunologically reactive molecule selected from the group consisting of i) a peptide according to [one of the claims 1 to 9] claim 1; ii) an organic compound according to claim 14; and iii) an antibody according to [one of the claims 10 to 13] claim 10 is used.

#### REMARKS


The foregoing amendment is being offered in a format acceptable to the U.S. Patent and Trademark Office. Entry of this amendment by the Examiner is respectfully requested.

Authorization is given to charge payment of any fees required, or credit any overpayment, to Deposit Acct. 13-4213. A duplicate of this paper is enclosed for accounting purposes.

Respectfully submitted,

Dated: May 13, 1999

By:

  
\_\_\_\_\_  
Jeffrey D. Myers, Reg. No. 35,964  
Direct line: (505) 998-1502

PEACOCK, MYERS & ADAMS, P.C.  
Attorneys for Applicant(s)  
P.O. BOX 26927  
Albuquerque, New Mexico 87125-6927

Telephone: (505) 998-1500  
Facsimile: (505) 243-2542

[\\JDM\DOCS\AMDS\Los&Stig\VanVenrooij.PAM.doc] 30394-1027

09/308150

514 Rec'd PCT/PTO PATENT APPLICATION 13 MAY 1999

I hereby certify that this paper is being deposited with the United States Postal Service on May 13, 1999, in an envelope as "Express Mail Post Office to Addressee" mailing Label No. EL270182671US addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Annette M. Turk, Legal Assistant

May 13, 1999  
(Date)

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Waltherus J.W. Van Venrooij, Gerardus Antonius Schellekens, Jozef Maria Hendrik Raats, and Rene Michael Antonius Hoet

Serial No.: UNKNOWN

Examiner: UNKNOWN

Priority claimed to PCT/NL97/00624

Filed: Herewith (May 13, 1999)

Group Art Unit: UNKNOWN

For: PEPTIDE DERIVED FROM AN ANTIGEN  
RECOGNIZED BY AUTOANTIBODIES FROM PATIENTS:  
WITH RHEUMATOID ARTHRITIS, ANTIBODY  
DIRECTED AGAINST SAID PEPTIDE, A COMBINA-  
TORIAL ANTIGEN, AND A METHOD OF DETECTING  
AUTO-IMMUNE ANTIBODIES

## FIRST PRELIMINARY AMENDMENT

Box: PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please amend the application, without prejudice, as follows:

### In the Claims:

1. (Amended) A peptide derived from an antigen recognized by autoantibodies from patients with rheumatoid arthritis, which peptide is reactive with autoimmune antibodies from a patient suffering from rheumatoid arthritis, [characterized in that] wherein the derived peptide that is reactive with autoimmune antibodies[,] corresponds to a part of a RNA molecule coding for the antigen, said part comprising a codon for an arginine residue, and the arginine residue in the derived peptide, which is reactive with autoimmune antibodies, is a modified arginine residue.

660260" 05780260

**X = NH<sub>2</sub>, CH<sub>3</sub>, NHCH<sub>3</sub> or N (CH<sub>3</sub>)<sub>2</sub>;**

**Z = O, NH or CH<sub>2</sub>; and**

3. (Amended) A peptide according to claim 1 [or 2, characterized in that] wherein the d arginine residue is a citrulline residue.

5. (Amended) A peptide according to [one of the claims 1 to 3, characterized in that] wherein the peptide is a cyclic peptide.

7. (Amended) A peptide according to [one of the preceding claims, characterized in claim 1 wherein the peptide is a synthetic peptide.

2

9. (Amended) A peptide according to [one of the claims 1 to 3, characterized in that] claim 1 wherein the peptide is obtained by the proteolytic treatment of (pro)flaggrin, separation of peptide fragments formed by proteolysis and subsequent selection of the presence of a modified arginine residue in a peptide which was formed during the proteolytic treatment.

10. (Amended) An antibody which is cross reactive with an antibody raised against a peptide according to [one of the claims 1 to 9] claim 1.

11. (Amended) An antibody according to claim 10 [, characterized in that] wherein the antibody is a monoclonal antibody.

12. (Amended) An antibody according to claim 10 [or 11, characterized in that] wherein the antibody is obtained by using a peptide according to [one of the claims 1 to 9] claim 1 as an antigen.

13. (Amended) An antibody according to [one of the claims 9 to 12, characterized in that] claim 9 wherein it is cross-reactive with the antibody as produced by *Escherichi coli* TG1 with plasmic RA3, deposited at the Centraalbureau voor schimmelcultures, at Baarn, the Netherlands under accession number CBS143.96.

14. (Amended) An organic compound comprising a part that is able to compete with a peptide according to [one of the claims 1 to 9] claim 1 for binding to an antibody which is specific for said peptide, wherein at least said part of the organic compound can be prepared by means of combinatory chemistry.

15. (Amended) A method for the detection of autoimmune antibodies [, characterized in that] wherein in an immunological test at least one immunologically reactive molecule selected from the group consisting of i) a peptide according to [one of the claims 1 to 9] claim 1; ii) an organic compound according to claim 14; and iii) an antibody according to [one of the claims 10 to 13] claim 10 is used.

#### REMARKS

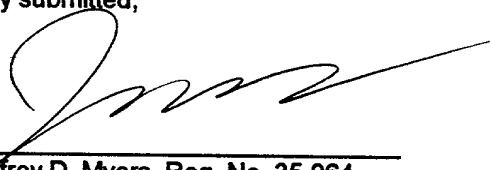
The foregoing amendment is being offered in a format acceptable to the U.S. Patent and Trademark Office. Entry of this amendment by the Examiner is respectfully requested.

Authorization is given to charge payment of any fees required, or credit any overpayment, to Deposit Acct. 13-4213. A duplicate of this paper is enclosed for accounting purposes.

Respectfully submitted,

Dated: May 13, 1999

By:

  
Jeffrey D. Myers, Reg. No. 35,964  
Direct line: (505) 998-1502

PEACOCK, MYERS & ADAMS, P.C.  
Attorneys for Applicant(s)  
P.O. BOX 26927  
Albuquerque, New Mexico 87125-6927

Telephone: (505) 998-1500  
Facsimile: (505) 243-2542

[\\JDM\DOCS\AMDS\Los&Stig\VanVenrooij.PAM.doc] 30394-1027

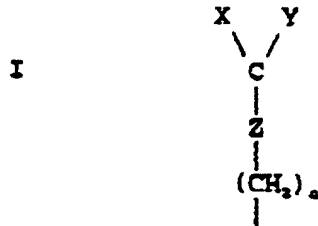


WO 98/22503

PCT/NL97/00624 -

1/1

FORMULA SHEET



II SHQEST XGRSRGRSGRSGS

III SHQESTRG XSRGRSGRSGS

IV SHQESTRGSR XGRSGRSGS

V SHQESTRGSRSG XSRSGS

VI SHQESTRGSRSGRSG XSGS

VII SHQEST XG XSRGRSGRSGS

VIII SHQEST XGRS XGRSGRSGS

IX SHQEST XGRSRG XSGRSGS


X SHQEST XGRSRGRSG XSGS

XI H Q C H Q E S T X G R S R G R C G R S G S

\_\_\_\_\_

660660" 03F00660

I hereby certify that this paper is being deposited with the United States Postal Service on May 13, 1999, in an envelope as "Express Mail Post Office to Addressee" mailing Label No. EL270182671US addressed to Box PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Annette M. Turk, Legal Assistant

May 13, 1999  
(Date)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Waltherus J.W. Van Venrooij, Gerardus  
Antonius Schellekens, Jozef Maria Hendrik Raats, and  
Rene Michael Antonius Hoet

Serial No.: UNKNOWN

Examiner: UNKNOWN

Priority claimed to PCT/NL97/00624

Filed: Herewith (May 13, 1999)

Group Art Unit: UNKNOWN

For: PEPTIDE DERIVED FROM AN ANTIGEN  
RECOGNIZED BY AUTOANTIBODIES FROM PATIENTS:  
WITH RHEUMATOID ARTHRITIS, ANTIBODY  
DIRECTED AGAINST SAID PEPTIDE, A COMBINA-  
TORIAL ANTIGEN, AND A METHOD OF DETECTING  
AUTO-IMMUNE ANTIBODIES

ASSOCIATE POWER OF ATTORNEY

Box: PCT  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

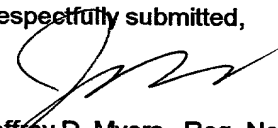
Jeffrey D. Myers, a principal attorney in the above-identified application for Letters Patent, hereby

appoints: Deborah A. Peacock, Reg. No. 31,649  
Paul Adams, Reg. No. 21,096  
Rod D. Baker, Reg. No. 35,434  
Brian J. Pangrle, Reg. No. 42,973  
Nancy E. Ownbey, Reg. No. 38,986;  
Andrea L. Mays, Reg. No. 43,721; and  
Stephen A. Slusher, Reg. No. 43,924

as associate attorneys with full power.

Respectfully submitted,

Date: 13 May 1999

  
Jeffrey D. Myers, Reg. No. 35,964  
Direct line: (505) 998-1502

Attorney for Applicant(s)  
PEACOCK, MYERS & ADAMS, P.C.  
P.O. Box 26927  
Albuquerque, New Mexico 87125-6927  
Telephone: (505) 998-1500  
Facsimile No. (505) 243-2542  
Customer No. 005179

660660" 05T80E60

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number 30394-1027

First Named Inventor Van Venrooij

## COMPLETE IF KNOWN

Application Number 09 / 308,150

Filing Date 13 May 1999

Group Art Unit

Examiner Name

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

PEPTIDE DERIVED FROM AN ANTIGEN RECOGNIZED BY AUTOANTIBODIES  
FROM PATIENTS WITH RHEUMATOID ARTHRITIS, ANTEBODY DIRECTED  
AGAINST SAID PEPTIDE, A COMBINATORIAL ANTIGEN AND A METHOD OF  
DETECTING AUTO-IMMUNE ANTIBODIES

the specification of which

(Title of the Invention)

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) November 14 1997 as United States Application Number or PCT International

Application Number PCT/NL97/00624 and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country     | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                                     |
|-------------------------------------|-------------|----------------------------------|--------------------------|--------------------------|-------------------------------------|
| PCT/NL97/00624<br>NL 1004539        | Netherlands |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|                                     |             |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|                                     |             |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            |
|                                     |             |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|--|
|                       |                          |  |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

(July 1998)

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |                          |              |
|---|--------------------------|--------------|
| <b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b><br><b>(37 CFR 1.63)</b><br><br><input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | Attorney Docket Number   | 30394-1027   |
|   | First Named Inventor     | Van Venrooij |
|   | <b>COMPLETE IF KNOWN</b> |              |
|   | Application Number       | 09 / 308,150 |
|   | Filing Date              | 13 May 1999  |
|   | Group Art Unit           |              |
| Examiner Name   |                          |              |

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**PEPTIDE DERIVED FROM AN ANTIGEN RECOGNIZED BY AUTOANTIBODIES FROM PATIENTS WITH RHEUMATOID ARTHRITIS, ANTIBODY DIRECTED AGAINST SAID PEPTIDE, A COMBINATORIAL ANTIGEN AND A METHOD OF DETECTING AUTO-IMMUNE ANTIBODIES**

the specification of which

(Title of the Invention)

☐ is attached hereto  
 OR

☒ was filed on (MM/DD/YYYY) **November 14 1997** as United States Application Number or PCT International
Application Number **PCT/NL97/00624** and was amended on (MM/DD/YYYY) (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country     | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                                     |
|-------------------------------------|-------------|----------------------------------|--------------------------|--------------------------|-------------------------------------|
|                                     |             |                                  |                          | YES                      | NO                                  |
| PCT/NL97/00624                      | Netherlands |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
| NL 1004539                          |             |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input checked="" type="checkbox"/> |
|                                     |             |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            |
|                                     |             |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/>            |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

| Application Number(s) | Filing Date (MM/DD/YYYY) | <input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. |
|-----------------------|--------------------------|--|
|                       |                          |  |

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**DECLARATION — Utility or Design Patent Application**

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (If applicable) |
|--|---------------------------------|--------------------------------------|
|  |                                 |                                      |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Customer Number 005179

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

| Name             | Registration Number | Name | Registration Number |
|------------------|---------------------|------|---------------------|
| Jeffrey D. Myers | 35,964              |      |                     |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

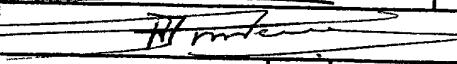
Direct all correspondence to: ☒ Customer Number or Bar Code Label 005179 OR ☒ Correspondence address below

|         |                        |           |                |     |                |
|---------|------------------------|-----------|----------------|-----|----------------|
| Name    | Jeffrey D. Myers       |           |                |     |                |
| Address | PEACOCK, MYERS & ADAMS |           |                |     |                |
| Address | Post Office Box 26927  |           |                |     |                |
| City    | Albuquerque            | State     | NM             | ZIP | 87125-6927     |
| Country | US                     | Telephone | (505) 998-1500 | Fax | (505) 243-2542 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:

☐ A petition has been filed for this unsigned inventor

|  |   |                        |             |
|--|---|------------------------|-------------|
| Given Name (first and middle [if any]) |   | Family Name or Surname |             |
| WALTHERUS JACOBUS WILHELMUS            |   | VAN VENROOIJ           |             |
| Inventor's Signature                   |  |                        | Date        |
| Residence: City                        | Nijmegen  | State                  |             |
|  |   | Country                | Netherlands |
|  |   | Citizenship            | Dutch       |
| Post Office Address                    | Eleonoraweg 16  |                        |             |
| Post Office Address                    | NL-6523 XV  |                        |             |
| City                                   | Nijmegen  | State                  |             |
|  |   | ZIP                    |             |
|  |   | Country                | Netherlands |

☒ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → ☐

PTO/SB/02A (3-97)

Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION

## ADDITIONAL INVENTOR(S)

Supplemental Sheet

Page 1 of 1

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

GERARDUS ANTONIUS

SCHELLEKENS

Inventor's  
Signature

Date

Residence: City

Nijmegen

State

Country

Netherlands

Citizenship

Dutch

Post Office Address

Zwanenveld 37-03

Post Office Address

NL-6538 XV

City

Nijmegen

State

ZIP

Country

Netherlands

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

JOZEF MARIA HENDRIK

RAATS

Inventor's  
Signature

Date

June 24  
1994

Residence: City

Nijmegen

State

Country

Netherlands

Citizenship

Dutch

Post Office Address

Van Diemerbroeckstraat 65

Post Office Address

NL-6512 BA

City

Nijmegen

State

ZIP

Country

Netherlands

Name of Additional Joint Inventor, if any:

☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])

Family Name or Surname

RENE MICHAEL ANTONIUS

HOET

Inventor's  
Signature

Date

June 24  
1999

Residence: City

Nijmegen

State

Country

Netherlands

Citizenship

Dutch

Post Office Address

Weezenhof 35 - 40

Post Office Address

NL 6536 HB

City

Nijmegen

State

ZIP

Country

Netherlands

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent Application or PCT Parent Number | Parent Filing Date (MM/DD/YYYY) | Parent Patent Number (if applicable) |
|--|---------------------------------|--------------------------------------|
|  |                                 |                                      |

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Customer Number 005179

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

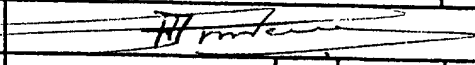
| Name             | Registration Number | Name | Registration Number |
|------------------|---------------------|------|---------------------|
| Jeffrey D. Myers | 35,964              |      |                     |

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number 005179 OR ☒ Correspondence address below

|         |                        |           |                |     |                |
|---------|------------------------|-----------|----------------|-----|----------------|
| Name    | Jeffrey D. Myers       |           |                |     |                |
| Address | PEACOCK, MYERS & ADAMS |           |                |     |                |
| Address | Post Office Box 26927  |           |                |     |                |
| City    | Albuquerque            | State     | NM             | ZIP | 87125-6927     |
| Country | US                     | Telephone | (505) 998-1500 | Fax | (505) 243-2542 |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

|  |   |   |                        |             |              |
|--|---|---|------------------------|-------------|--------------|
| Name of Sole or First Inventor:        |   | <input type="checkbox"/> A petition has been filed for this unsigned inventor |                        |             |              |
| Given Name (first and middle [if any]) |   |   | Family Name or Surname |             |              |
| WALTHERUS JACOBUS WILHELMUS            |   |   | VAN VENROOIJ           |             |              |
| Inventor's Signature                   |  |   |                        |             | Date         |
| Residence: City                        |   | State   | Country                | Citizenship | Date         |
| Nijmegen                               |   |   | Netherlands            | Dutch       | June 24 1999 |
| Post Office Address                    |   | Eleonoraweg 16 NLX  |                        |             |              |
| Post Office Address                    |   | NL-6523 XV  |                        |             |              |
| City                                   | State   | ZIP   | Country                |             |              |
| Nijmegen                               |   |   | Netherlands            |             |              |

☒ Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

Please type a plus sign (+) inside this box → +

PTO/SB/02A (3-97)

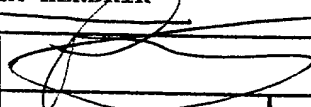
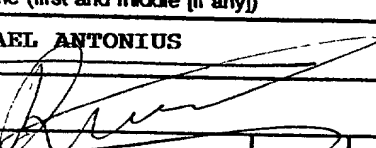
Approved for use through 9/30/98. OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

+

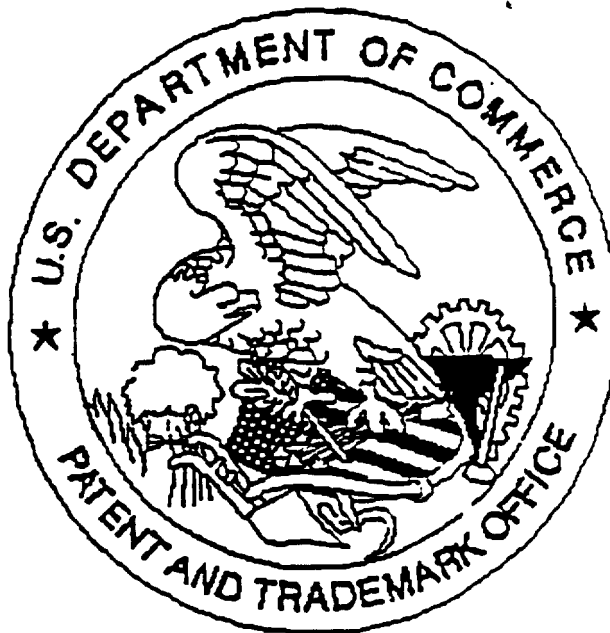
**DECLARATION****ADDITIONAL INVENTOR(S)**  
**Supplemental Sheet**  
Page 1 of 1

|   |   |       |  |   |             |             |              |
|---|---|-------|--|---|-------------|-------------|--------------|
| <b>Name of Additional Joint Inventor, if any:</b> |   |       |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |             |             |              |
| Given Name (first and middle (if any))            |   |       |  | Family Name or Surname  |             |             |              |
| GERARDUS ANTONIUS                                 |   |       |  | SCHELLEKENS   |             |             |              |
| Inventor's Signature                              |   |       |  |   |             | Date        |              |
| Residence: City                                   | Nijmegen  | State |  | Country   | Netherlands | Citizenship | Dutch        |
| Post Office Address                               | Zwanenveld 37-03  |       |  |   |             |             |              |
| Post Office Address                               | NL-6538 XV  |       |  |   |             |             |              |
| City  | Nijmegen  | State |  | ZIP   |             | Country     | Netherlands  |
| <b>Name of Additional Joint Inventor, if any:</b> |   |       |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |             |             |              |
| Given Name (first and middle (if any))            |   |       |  | Family Name or Surname  |             |             |              |
| JOZEF MARIA HENDRIK                               |   |       |  | RAATS   |             |             |              |
| Inventor's Signature                              |  |       |  |   |             | Date        | June 24 1994 |
| Residence: City                                   | Nijmegen  | State |  | Country   | Netherlands | Citizenship | Dutch        |
| Post Office Address                               | Van Diemerbroeckstraat 65   |       |  |   |             |             |              |
| Post Office Address                               | NL-6512 BA  |       |  |   |             |             |              |
| City  | Nijmegen  | State |  | ZIP   |             | Country     | Netherlands  |
| <b>Name of Additional Joint Inventor, if any:</b> |   |       |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor |             |             |              |
| Given Name (first and middle (if any))            |   |       |  | Family Name or Surname  |             |             |              |
| RENE MICHAEL ANTONIUS                             |   |       |  | HOET  |             |             |              |
| Inventor's Signature                              |  |       |  |   |             | Date        | June 24 1999 |
| Residence: City                                   | Nijmegen  | State |  | Country   | Netherlands | Citizenship | Dutch        |
| Post Office Address                               | Weezenhof 35 - 40   |       |  |   |             |             |              |
| Post Office Address                               | NL 6536 HB  |       |  |   |             |             |              |
| City  | Nijmegen  | State |  | ZIP   |             | Country     | Netherlands  |

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for



United States Patent & Trademark Office  
Office of Initial Patent Examination – Scanning Division



Application deficiencies were found during scanning:

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

☐ Page(s) \_\_\_\_\_ of \_\_\_\_\_ were not present  
for scanning. (Document title)

*There is one drawing*

☐ Scanned copy is best available.